FY2011 Continuum of Care NOFA and Application Broadcast

Office of Special Needs Assistance Programs
August 23, 2011
Broadcast Overview

1. CoC Registration Overview
2. FY2011 CoC NOFA
3. Highlights and Major Changes for FY2011
4. Key Concepts for FY2011
5. FY2011 CoC Scoring
6. FY2011 Selection Priorities
Broadcast Overview (cont.)

7. Project Eligibility
8. e-snaps
9. Applicant Profile
10. Exhibit 1
11. Exhibit 2
12. HEARTH Act
13. Resources
CoC Registration Overview
FY2011 CoC Registration Overview

- CoC Registration closed July 25, 2011 and provided CoCs with final HUD-approved amounts for:
  - PPRN
  - HHN
  - PH Bonus
- All CoCs received notification of:
  - Approval
  - Disapproval
- Approved CoCs have access to e-snaps
Notice of Funding Availability (NOFA)
Published on HUD HRE ([www.hudhre.info](http://www.hudhre.info))

- CoC Competition
  - Announcement of opening via Listserve
  - Will close at 7:59:59 PM (EST)
  - Application Available for 60 Days
  - Exhibit 1 and Exhibit 2
Highlights and Major Changes in FY2011
Highlights for FY2011

- Continue the two-step conditional awards announcement:
  - Renewal Projects expected within 30 days after the competition closes
  - New Projects expected within 45-60 days after the competition closes
- SHP Renewal applications limited to one year of funding
Highlights for FY2011

- Extremely High Need Communities
  - Foreclosure rate
  - Per capita rate of homelessness
  - Unemployment rate
  - Ratio of unsheltered vs. sheltered homeless persons
  - CoCs PPRN Amount
Highlights for FY2011

- **S+C Renewal projects continue to request one year renewals**
  - Number of Units
  - FY2011 FRMs multiplied by 12 months

- **First-Time S+C Renewals**
  - May request additional leased units
  - Must have leases for ALL units requested
Highlights for FY2011

- Chronic Homeless Definition
  - Individuals
  - Families
    - At least one adult member in the family who has a disabling condition and has met all other standards defining chronically homeless.
Highlights for FY2011

- Permanent Housing Bonus
  - $6 million OR 15% of PPRN - whichever is less
  - May serve individuals or families
  - CoCs are encouraged to create permanent housing beds for chronically homeless
  - CANNOT use PPRN or HHN Reallocation to supplement PH Bonus Projects
Highlights for FY2011

Educational Assurances – CoC

1. Demonstrate collaboration with local education agencies

2. Demonstrate consideration of educational needs of children placed in emergency or transitional facilities with their families
Highlights for FY2011

Educational Assurances – Projects

1. Demonstrate policies and practices are consistent with other laws relating to education and related services

2. Demonstrate programs providing housing or services have a designated staff person to ensure children are enrolled in school
Highlights for FY2011

- Selection Priority to projects located in 100 percent rural areas
- HUD will continue the HHN Reallocation process for CoCs whose FPRN is based on HHN or HH Merger
Highlights for FY2011

- **Housing Inventory Count and Point-in-Time Count Data**
  - Counts conducted the last 10 days in January 2011
  - CoCs submitted the FY2011 data directly into the HUD Homelessness Data Exchange (HDX) by May 31, 2011
  - HUD will continue to score CoCs on this information and timely submission
Highlights for FY2011

- Housing emphasis and leveraging calculated on eligible NEW projects only
- CoC s are required to address coordination with local HPRP
Highlights for FY2011

- HUD encourages all projects to utilize the following programs as a source of leveraging:
  - Neighborhood Stabilization Program (NSP)
  - HUD-VASH
  - Any HUD-managed American Recovery and Reinvestment Act (ARRA) programs
Major Changes for FY2011

- Homeless Definition – proposed rule
  - For all SHP and S+C projects funded under this NOFA
  - Homeless
  - Homeless Individual
  - Homeless Persons
  - Homeless Individual with a Disability
Major Changes for FY2011

- Applicant Profile
  - CoC (Exhibit 1)
    - CoC Lead Contact
    - HMIS Contact
    - Homeless Referral Contact
  - Project Applicant (Exhibit 2)
    - Formerly known as SF-424
- New SHP projects may request only 1 Year of funding
  - SHP projects may still request 2 or 3 years of funding
- No Logic Model Required
Major Changes for FY2011

- Major Natural Disaster Areas
  - Robert T. Stafford Act

- Federal Funding Accountability and Transparency Act of 2006 (FFATA)
  - https://www.fsrs.gov
FY2005 Grants – Must Renew

FY2005 Grants

Key Concepts for FY2011
CoC Need Amounts

- Preliminary Pro Rata Need (PPRN)
- Hold Harmless Need (HHN)
- Hold Harmless Merger Process
- Final Pro Rata Need (FPRN)
Concept Overview

- HHN Reallocation
- Match
- Housing Emphasis
Preliminary Pro Rata Need (PPRN)

- Each CoC jurisdiction has a Pro Rata Need amount based on CDBG formula: these are the building blocks
- More building blocks means a larger PPRN = more planning resources
Calculating PPRN:

City L = $15,000
County F = $5,000
County J = $70,000
County Y = $40,000
County X = $50,000
County G = $10,000

PPRN = $190,000
Hold Harmless Need Amount (HHN)

- Annual Renewal Amount (ARA)
- Total of ARAs for all expiring SHP grants
Hold Harmless Merger Process

- Based on the FPRN for each CoC
- Protects FPRN of merging CoCs
  - At least one CoC in PPRN status
  - At least one CoC in HHN status
- Approved prior to or during CoC Registration
Final Pro Rata Need Amount (FPRN)

- **Higher Amount of:**
  - PPRN
  - HHN
  - HH Merger

- **Excludes:**
  - PH Bonus
  - S+C Renewals
HHN Reallocation

- FPRN based on:
  - HHN
  - HH Merger
- Create new PH or HMIS projects
- New SHP projects may be 1, or 2 years
- New SHP Projects awarded using selection criteria per the NOFA
- New S+C projects must be 5 years (S+C with rehab must be 10 years)
- New SRO projects (must be 10 years)
### New Project Availability – Funding

<table>
<thead>
<tr>
<th></th>
<th>PPRN</th>
<th>Reallocation</th>
<th>PH Bonus</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>PPRN Status</strong></td>
<td>Yes</td>
<td>No</td>
<td>Yes</td>
</tr>
<tr>
<td><strong>HHN Status</strong></td>
<td>No</td>
<td>Yes</td>
<td>Yes</td>
</tr>
<tr>
<td><strong>HH Merger Status</strong></td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
</tr>
</tbody>
</table>

*Funds new SHP, S+C, and SRO*
Match

- SHP and S+C Program Regulations
- Requirements
  - SHP CASH Match
    - SHP Operations = 25%
    - SHP Supportive Services = 20%
    - New Constructions, Rehabilitation, and Acquisition = 100%
  - S+C Cash and/or In-kind Match
    - 100%
- References:
  - S+C - 24 CFR Part 582.110
Housing Emphasis

- Supportive Services funds VS. Housing Activities

- Applies to eligible NEW project requests only
FY2011 CoC Scoring
FY2011 CoC Scoring

- Exhibit 1 is competitively scored on a 100 point scale.
- Need is accounted for through the Pro Rata Need process.
FY2011 CoC Scoring

- 14 Points = CoC Housing, Services, and Structure
- 26 Points = Homeless Needs and Data Collection
- 22 Points = CoC Strategic Planning
- 32 Points = CoC Performance
- 6 Points = Emphasis on Housing Activities
FY2011 Selection Priorities
FY2011 Selection Priorities

- HUD’s FY2011 selection priorities includes:
  - Up to $10 million to applicants with projects proposing to serve 100 percent rural counties
  - Eligible one year S+C and SHP renewal projects
  - A minimum of 30 percent towards permanent housing projects
Permanent Housing projects will be funded in the following order to meet the 30% permanent housing requirement:

- All eligible SHP renewal housing projects
- Select eligible new permanent housing projects within PPRN that propose to serve 100 percent rural counties, in rank order by CoC score
- Select eligible new permanent housing projects with FPRN in rank order by CoC score
- Select eligible Permanent Housing Bonus projects in rank order by CoC score
FY2011 Selection Priorities

- HUD will also fund:
  - One year, lower-rated eligible dedicated HMIS projects
  - Eligible Transitional Housing and Safe Haven projects that propose to serve 100 percent rural counties, in rank order by CoC
  - Competitively fund all remaining projects in rank order by CoC
Project Eligibility and Quality
Project Eligibility

- Does the project serve the correct population?
- Does the project meet quality threshold?

Applicant/Sponsor Eligibility
- Applicant eligible?
- Sponsor eligible?
Applicant/Sponsor Eligibility

Supportive Housing Program (SHP)

- States
- Units of general local government
- Special purpose units of government, e.g., PHAs
- Private non-profit organizations
- Community Mental Health Centers that are public non-profit organizations
Applicant/Sponsor Eligibility

Shelter Plus Care

- States
- Units of general local government
- PHAs
Applicant/Sponsor Eligibility

Section 8 SRO

- PHAs
- Private non-profit organizations
Project Quality Reviews

New Projects

- Type and scale of housing
- Type, scale, and location of services
- Access to services
- Client assistance while in program
- Applicant experience and capacity
Other Requirements

- **Good Standing**
  - Applies to both Renewal and New
  - Cannot have problems that are:
    - Significant
    - Long-standing
    - Unresolved audit findings
    - Unresolved monitoring findings
    - Legal problems
Use and Repayment Covenant Requirements

- 20 Year use and repayment covenant required for all awarded:
  - Rehabilitation
  - New Construction
  - Acquisition
Fair Housing Requirements

- All grantees must comply with Fair Housing regulations
- NOFA language on Fair Housing requirements reflects current regulations
e-snaps: Getting from NOFA to Application Submission
What is e-snaps?

- Online application and grants management system
- Allows CoCs and project applicants to save work as the application is completed
- Accessible via the internet at www.hud.gov/esnaps
Purpose & Benefits of e-snaps

- Streamlines process for awarding CoC grants to applicants
- Simplifies project application process for providers
- Provides project management system for HUD and applicants
- Reduces data entry from year to year
Applicant Profile – CoCs

- In order to access the Exhibit 1, CoCs must complete the Applicant Profile
  - CoC Lead Agency Information
  - CoC Primary and Alternate Persons
  - HMIS Lead Person
  - Homeless Resource Person
- Can be updated as needed anytime during the year
In order to access the Exhibit 2, Project Applicants must complete the Applicant Profile

- Organization Information
- Authorized Contact and Alternate Contact
- Congressional Districts
CoC Application – Exhibit 1
Import Data

- Import Data Opportunity
  - Elected during CoC Registration Only
  - No additional opportunities
Exhibit 1 – *e-snaps*

- Completed by the CoC Lead Agency
  - Add/Delete users to access Exhibit 1 for data entry
- CoC Lead Agency Contact must submit Exhibit 1 application to HUD
Exhibit 1 Overview

- Similar to FY2010 in design and information requested
- The CoC will describe:
  - Existing CoC Structure, membership services, and decision-making process
  - Existing Housing and Services
  - HMIS Implementation and Progress
Exhibit 1 Overview

- CoC will describe (cont.):
  - AHAR Participation
  - Data Collection
  - CoC Performance
Exhibit 1 Overview

- Pay attention as questions change in Exhibit 1 questions throughout the application:
  - Questions Added
  - Questions Deleted
  - Questions Revised
The CoC Project Listing is the same as FY2010:

- New projects: CoCs are required to rank or reject each project
- Renewal projects: CoCs are required to accept or reject each project
- HUD will not review projects rejected by the CoC or those projects that fell entirely outside the CoCs FPRN and PH Bonus
The Project Listing allows CoCs to:

- View and Sort submitted projects
- Amend project applications back to the submitting agency
  - Project applicant makes needed changes and resubmits the Exhibit 2 back to the CoC
CoC Project Listing

- CoC should:
  - Continuously update Project Listing
    - Ensure ALL RENEWAL projects are listed on the Project Listing
  - Wait to rank, approve, or reject projects until all project applications are visible
  - Ensure all project applications are ranked, approved, or rejected prior to submitting the CoC application to HUD
CoC Project Listing

- **Reminders:**
  - All projects accepted by the CoC must fall within the CoC’s FPRN
  - HUD will not review projects that:
    - Fall outside the CoC’s FPRN
    - Are rejected by the CoC
Funding Categories

- S+C Renewals
- SHP Renewals
- Permanent Housing Bonus
- New Projects within FPRN
- New Projects created with HHN
Project Application – Exhibit 2
Import Data

- Import Data Opportunity
  - One year renewal applicants that submitted data in FY2010
  - Carefully review and update data imported in the FY2011 application
Exhibit 2 – e-snaps

- Available after the Applicant Profile is completed
- Select:
  - Project Type
  - Program Type
    - These “drive” the visibility of forms
Applicants can do the following until the Exhibit 2 is submitted to the CoC:

- Make Changes
- Corrections
Exhibit 2 – Rapid Re-Housing For Families Demonstration Program

- Originally funded in FY2008
  - SHP-TH: 3-year grant terms
  - Funded:
    - Leasing up to 18 months
    - Supportive Services
    - Admin Costs

- Projects expiring in CY2012
  - MUST have been reflected on the GIW
  - Renew funding in the FY2011 CoC Competition
Exhibit 2 – Threshold Review

- New Projects
  - Project applicant
    - Sponsor – if applicable
  - Eligibility
  - Capacity
  - Quality

- Renewal Projects
  - Project applicant
  - Sponsor – if applicable
  - Capacity
  - Eligibility
Exhibit 2 – Consolidations

- Grant consolidations NOT allowed during the application process
- Must be approved by the local HUD field office and approved prior to renewal request
- Approved consolidations:
  - Applicant MUST submit only one application
  - Applicant MUST reflect the consolidated project information
  - Applicant MUST reflect the consolidated budget amounts (SHP)
  - Applicant MUST reflect the consolidated units (S+C)
Exhibit 2 – Performance Measures

- All new and renewal projects
- All projects must include measures for:
  - Length of time in project
  - Recidivism
  - Movement to and stability in permanent housing
  - Job and income growth
- Applicant may indicate additional measures to chart success
Exhibit 2 – Budget Line Items

- **Renewals:**
  - Budget Line Items and Annual Renewal Amount (ARA) should match the amount on the final HUD-approved GIW
  - SHP Projects reduced through the HHN reallocation process must match the reduced amount determined by the CoC
  - S+C Renewals should match the total number of units and bedroom distribution on the final GIW
  - Additional changes should reflect the grant agreement
Exhibit 2 - Attachments

- Applicant Certification
- HUD-2880
- SF-LLL – Disclosure of Lobbying Activities
- HUD-50070 – Certification for a Drug-free Workplace
- Non-profit Status Documentation for project sponsors
- Code of Conduct
- PHA Letter (New Section 8 SRO projects only)
Preparing for Implementation of the McKinney-Vento Act, as amended by HEARTH

Signed May 20, 2009 by President Obama
McKinney-Vento, as Amended by HEARTH

- Signed May 20, 2009 by President Obama
- Amends the McKinney-Vento Homeless Assistance Act
- CoC should continue to consider following HEARTH Act requirements in 2011
McKinney-Vento, as Amended by HEARTH

- Places an increased emphasis on HMIS
  - Requires ALL ESG recipients use HMIS
- CoCs should review the performance measures outlined in the HEARTH Act
  - Strategize how outcomes will be collected at the local level
- Increased focus on performance
  - CoCs that do not consistently meet threshold requirements may consider merging to meet this goal
- Authorizes a single recipient
McKinney-Vento, as Amended by HEARTH

- Updated information regarding HEARTH Act on the HUD HRE site:
  - Copy of the McKinney-Vento Act as amended by HEARTH
  - Proposed Homeless Definition
  - HMIS Information
Training and Resources

- All HUD CoC application materials are found on the HUD HRE site: http://www.hudhre.info/
- Questions???
  - Submit questions to the HUD Virtual Help Desk: http://www.hudhre.info/
Resources Available for NOFA

- Visit [www.hudhre.info](http://www.hudhre.info) to:
  - Download a copy of the NOFA
  - Review the Supplement Questions and Answers
  - Review the NOFA webcast
  - View Frequently Asked Questions
  - Ask a question through the Virtual Help Desk