



# CDBG National Disaster Resilience Grants

U.S. Department of Housing and Urban Development

# What are the goals of NDRC?

- To apply science-based and forward-looking risk analysis to address recovery, resilience, and revitalization needs.
- To leave a legacy of thoughtful, innovative, and resilient approaches to addressing future risks.
- To provide help communities plan and implement projects that make them more resilient to economic stresses or other shocks.
- To fully engage stakeholders about the impacts of climate change and to develop pathways to resilience based on sound science.
- To leverage investments from the philanthropic community to help communities define problems, set goals, explore options, and craft solutions.



# National Disaster Resilience Grant (NDR)

- Funding authority is provided by the Disaster Relief Appropriations Act, 2013 (Public Law 113-2).
- Allowable costs include those necessary expenses to meet the unmet recovery needs of the most impacted and distressed areas. Once tie-back has been established, projects have been designed to meet other community development and economic revitalization needs, including greater resilience to climate change.



# NDRC Take-Aways

- Applicants requested over \$7 Billion of funding (\$4 Billion of which scored high enough to fund – Only \$1 Billion was available
- Applicants pledged \$2.7 Billion of direct leverage and an additional \$2.5 Billion of supporting leverage
- Applicants entered into 220 partnerships for their proposals

# CDBG-NDR Grantees



# How is NDR the Same as CDBG-DR?

- Use of PL 113-2 applicable requirements, Federal Register Notices and regulations;
- Operate like other PL 113-2 CDBG-DR grants re: assignment of funds, financial certifications & procurement controls, use of Disaster Recovery Grant Reporting (DRGR) system (Action Plan & QPRs), environmental reviews, AFFH, Davis-Bacon, Lead, etc.;
- Funds must be obligated by September 30, 2017 and fully expended within two years of date of obligation. (Grantees have requested extensions of the expenditure deadline)

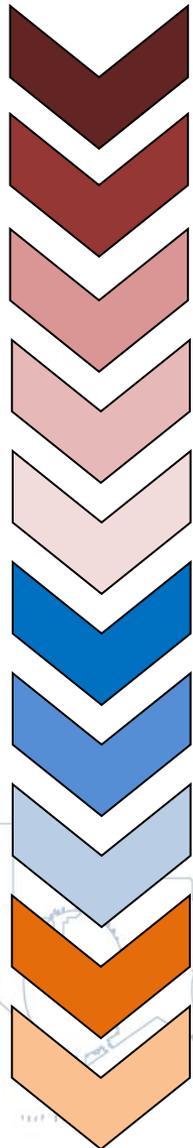
# How is NDRC Different than CDBG-DR?

- The Approved NDRC application is *the* Action Plan;
- Approved applications will be further modified through negotiations between HUD and Grantee, as a result of the amount of actual funding provided;
- Substantial Amendment to components of the approved application require re-scoring by the selection panel;
- Reporting and Monitoring will include a focus on leveraging of resources, project performance and long-term commitment. Evidence of leveraging were required – Commitment from Partners (Letter of Intent, contract, etc.);
- A focus on Projects rather than on Activities, and on design and /or resilience standards;
- Utilizes CFDA 14.272, rather than CFDA 14.269

# Management of CDBG-NDR

- DRSI, in HUD's Office of Block Grant Assistance, directly manages "higher risk" grantees— typically those that are awarded \$500 million or more: *State of Iowa, State of New Jersey, State of Louisiana, New York City, State of New York*
- All other grantees are managed by their HUD CPD Field Office; DRSI provides policy guidance and support as needed : *Commonwealth of Virginia, State of California, City of Minot, City of Springfield, Shelby County, State of Tennessee, State of Connecticut, and City of New Orleans*

# Estimated NDR Timeline



**FEBRUARY** Orientation call to Field Offices & Grantees

Individual Grantee Follow-up

Grant Assignment to HQ/FO

Grant Requirements Notice Published (Modified NOFA)

Finalize Project Scope, Final Funding Amount & Waivers

**MARCH** Training for New PL 113-2 Grantee's

PL 113-2 Certifications submitted (30 days after Effective Date of FR Notice) – HUD Staff Review

FR Notice of Waivers Published

**APRIL** Draft Grant Agreement

Finalize Grant Agreement; DRGR Consultation

# NDR Next Steps – Part 1

- Within 30 days of the effective date of the FR Notice, grantee submits evidence of proficient financial controls and procurement processes [Certifications];
- Once certification checklist by HUD is completed and a determination that submissions are sufficient, the Secretary makes the certification;
- HUD transmits Grant Agreement, Grantee signs and returns the grant agreement – HUD signs the agreement *last*;
- HUD then establishes the proper amount in a line of credit for the Grantee (this triggers the two year expenditure deadline for any funds obligated by this grant agreement);
- If needed, Grantee requests and receives DRGR system access;
- HUD will provide additional support and information to Field Offices and Grantees on performance metrics and monitoring,

# NDR Next Steps – Part 2

- Grantee enters the activities from its application into DRGR (the DRGR Action Plan) and submits it to HUD.
- The Grantee may draw down funds from the line of credit after the completion of the applicable environmental review(s) and, receives from HUD or the State an approved Request for Release of Funds and certification;
- Grantee must begin to draw down funds within 60 days of receiving access to its line of credit; and
- Grantee will identify the Projects and Programs selected by HUD for funding and updates its projection of expenditures and outcomes within 90 days of the date of the grant award letter as part of their DRGR Action Plan.

# NDR Action Plan Amendments

- Action Plan is Grantee's Phase 1 and Phase 2 Applications (Grantees will post DRGR Action Plan that reflects funded components)
- Substantial Action Plan amendments - Any modification\* to Action Plan that would result in the following changes:
  - > 5 points in the score for **Capacity** or **Soundness of Approach** factors
  - Most Impacted and Distressed target area(s)
  - Program benefit, beneficiaries, or eligibility criteria
  - > \$1 million in allocation, or
  - Addition or deletion of an eligible activity
- Substantial Action Plan amendments require the following:
  - Citizen participation process as described in NOFA (one public hearing and 15 day comment period)
  - HUD approval (repaneling)
  - Grantee must post all Action Plan amendments on website

\*Grantees are encouraged to work with HUD representatives before making any amendment to the Action Plan

# ADDITIONAL NDR INFORMATION

HUD Press Release:

[http://portal.hud.gov/hudportal/HUD?src=/press/press\\_releases\\_media\\_advisories/2016/HUD\\_16-006](http://portal.hud.gov/hudportal/HUD?src=/press/press_releases_media_advisories/2016/HUD_16-006)

NDRC Grantee Profiles Booklet:

<http://portal.hud.gov/hudport/documents/huddoc?id=NDRCGrantProf.pdf>

HUD Exchange:

<https://www.hudexchange.info/programs/cdbg-dr/resilient-recovery/>

Rockefeller Foundation NDRC:

<https://www.rockefellerfoundation.org/our-work/initiatives/national-disaster-resilience-competition/>

NDRC Notices of Funding Availability

<http://portal.hud.gov/hudportal/documents/huddoc?id=2014ndrc-nofa.pdf>

<http://portal.hud.gov/hudportal/documents/huddoc?id=2014ndrc-nofa.pdf>

NDR Frequently Asked Questions

<https://www.hudexchange.info/onecpd/assets/File/National-Disaster-Resilience-Competition-Phase-2-FAQs.pdf>

# Discussion & Questions

